

10/619637

NOT RECORDED

28 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Krum et al.

Examiner:

Serial No.: Not yet assigned

Group Art Unit:

Filed: herewith

Docket: 298-149

For: METHOD AND DEVICE FOR
PYROLYZING AND GASIFYING ORGANIC...

Dated: November 28, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

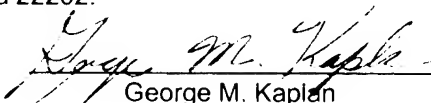
Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are enclosed.

The citation of the listed items are not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number **EV035533481US** addressed to: Assistant Commissioner for Patents, Box PCT, P.O. Box 2327, Arlington, Va 22202.

Dated: November 28, 2001


George M. Kaplan

DE 197 55 693 and DE 197 20 331 are described in the background portion of the present application while WO99/31197 is cited in the Search Report of the priority PCT application. Accordingly the requirement for a concise explanation of relevance of all non-English references under M.P.E.P. §609 has been provided.

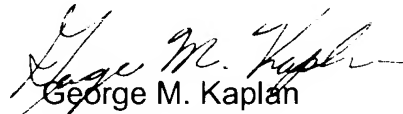
The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☒ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.
- ☐ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a complete first Office Action on the merits in connection with this case.
- ☐ Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e).
- ☐ Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).
 - ☐ Enclosed by check is the petition fee of \$130.00. 37 C.F.R. § 1.17(i)(1))
 - ☐ Please charge the **\$130.00** petition fee to Deposit Account No. **04-1121**.
- ☐ Enclosed by check is the **\$180.00** fee required by 37 C.F.R. § 1.17(p).
- ☐ Please charge the **\$180.00** fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. **04-1121**.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,


George M. Kaplan
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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

298-149

SERIAL NO.

10/019637

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Krum et al.

INTERNATIONAL FILING DATE
June 27, 2000GROUP
Art Unit:

U.S. PATENT DOCUMENT

| EXAMINER INITIALS | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----------------|-------|-----------------|-------|----------|----------------------------------|
| | 4 0 4 7 8 8 3 | 09/77 | WATERS | | | |
| | 4 2 4 4 7 7 9 | 01/81 | NIEMINEN et al. | | | |
| | 4 5 6 8 3 6 2 | 02/86 | DEGLISE et al. | | | |

FOREIGN PATENT DOCUMENTS

| | | | | | | | | | | | TRANSLATION | |
|--|--|---|----|---|---|---|---|----|----------|-------------------|-------------|----|
| | | | | | | | | | | | YES | NO |
| | | 9 | 9/ | 3 | 1 | 1 | 9 | 7 | 06/24/99 | INTERNATIONAL | | |
| | | 1 | 9 | 7 | 2 | 0 | 3 | 31 | 11/98 | FED. REP. GERMANY | | |
| | | 1 | 9 | 7 | 5 | 5 | 6 | 93 | 07/99 | FED. REP. GERMANY | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.